



## PROJECT PREPARATION GRANT (PPG)

PROJECT TYPE: Full-sized Project

TYPE OF TRUST FUND: SCCF

Submission date: 09/26/2011

**GEF PROJECT ID:**

**GEF AGENCY PROJECT ID: 4863**

**COUNTRY(IES): Sri Lanka**

**PROJECT TITLE:** Strengthening The Resilience Of Post Conflict Recovery And Development To Climate Change Risks In Sri Lanka

**GEF AGENCY(IES):** UNDP, (select), (select)

**GEF FOCAL AREA(s):** Climate Change

### A. PROJECT PREPARATION TIMEFRAME

Start date of PPG	11/01/2011
Completion date of PPG	10/30/2012

### B. PROPOSED PROJECT PREPARATION ACTIVITIES (\$)

Describe the PPG activities and justifications:

The final product of the proposed PPG phase will be a UNDP-GEF compliant, full-sized project document for submission to the SCCF. The document will cover the following in detail:

- Description of baseline activities and related sources of financing, in particular a summary of relevant reconstruction, development and disaster management projects, investment programmes and capacity building activities that focus on the recovery of livelihoods in conflict-affected areas of Sri Lanka;
- Review and summary of the existing policy and regulatory framework relevant for climate risk management and disaster risk reduction in Sri Lanka, with particular emphasis on current climate change-related impacts and threats;
- Review, analysis and summary of current and past project activities by government, donors, NGOs and private sector institutions that are related to increasing community resilience in conflict-affected areas (including an updated report on co-operation and co-financing arrangements drawing on UNDP support).
- A collation and synthesis of supporting reports (hazard maps, vulnerability maps, risk profiles, census data, strategic environmental assessments, economic assessments of climate change impacts and cost/benefit studies of adaptation options) to justify the proposed project interventions and leverage additional co-financing for the proposed activities
- Specification of planned adaptation activities to be financed by the SCCF and their rationale (i.e. how will SCCF assistance influence planned and ongoing reconstruction, resettlement, economic and community development planning processes to ensure that climate change-related risks are being duly appraised and measures are taken to reduce these risks?)
- Description of the geographic breakdown of project interventions in terms of districts, vulnerability 'hot spots' and target communities (in alignment with provincial and village development processes);
- Definition of project goal, objective, outcomes, outputs and verifiable indicators;
- Definition of a Strategic Results Framework and a Monitoring and Evaluation (M&E) system with quantifiable and verifiable impact indicators. These indicators, which will specifically address adaptation impacts, will be based on guidance by the SCCF results framework for adaptation projects. The indicators will be connected to a monitoring and evaluation plan, which will set out how and by whom these

indicators will be measured and which way verification data will be collected by the project.

- Definition of implementation and execution arrangements for the project with detailed roles and responsibilities of government entities, UNDP, partnering NGOs, partnering UN agencies, partnering research and educational institutions and a timeline for project implementation;
- Definition of a stakeholder involvement plan for the preparation and implementation phase of the project, with a clear focus on community involvement and participation (keeping gender equality considerations in mind);
- Definition of project management and reporting arrangements;
- Endorsement letters from the government and letters confirming co-financing commitments;
- A detailed set of Annexes including a listing of PPG activities and reports from all relevant stakeholder consultations

<b>List of Proposed Project Preparation Activities</b>	<b>Output of the PPG Activities</b>	<b>Trust Fund</b>	<b>Grant Amount (a)</b>	<b>Co-financing (b)</b>	<b>Total c = a + b</b>
1. Technical Definition and Capacity Needs Assessment	1.1. Project baseline defined, based on local consultations and detailed analysis of existing initiatives in target districts  1.2. Current and projected climate change risks in project area defined  1.3. Specific sites for project intervention defined  1.4. Adaptation alternative assessed and summarized for each project Outcome  1.5. Project integrated with the Roadmap towards a Safer Sri Lanka; the National Climate Change Adaptation Strategy; Sri Lanka's new Climate Change Policy; and priorities identified in the SNC  1.6. Project aligned with complementary climate risk management and	SCCF	25,000	25,000	50,000

	adaptation projects, including MFF and SPA-funded interventions by IUCN				
2. Institutional arrangements, Monitoring and Evaluation	<p>2.1. Strategic Results Framework including project Objective, Outcomes, Output targets and verifiable results indicators formulated</p> <p>2.2. Monitoring and evaluation provisions and reporting arrangements formulated</p> <p>2.3. Project implementation and execution arrangements, roles and responsibilities defined (based on a capacity assessment of possible Implementing partners and consultations with key government entities (including MoED, MoE, MoDM, CCD, UPA, MoA, Finance Commission, MoF, Provincial/District/Local authorities)</p> <p>2.4. Project sustainability strategy defined (including plans for project replication in other Provinces, upscaling, and knowledge management)</p>	SCCF	10,000	10,000	20,000
3. Stakeholder Consultations	3.1. National, provincial, district and local-level project stakeholders mobilized and	SCCF	18,000	18,000	36,000



**D. PPG AMOUNT REQUESTED BY AGENCY(IES), FOCAL AREA(S) AND COUNTRY(IES)<sup>1</sup>**

Trust Fund	GEF Agency	Focal Area	Country Name/ Global	(in \$)		
				PPG (a)	Agency Fee (b)	Total c = a + b
SCCF	UNDP	Climate Change	Sri Lanka	60,000	6,000	66,000
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
(select)	(select)	(select)				0
<b>Total PPG Amount</b>				<b>60,000</b>	<b>6,000</b>	<b>66,000</b>

<sup>1</sup> No need to provide information for this table if it is a single focal area, single country and single GEF Agency project.

**E. PPG BUDGET**

Cost Items	Total Estimated Person Weeks for Grant (PW)	Grant Amount (\$)	Co-financing (\$)	Total(\$)
Local consultants *	33.00	33,000	33,000	66,000
International consultants*	7.00	17,500	17,500	35,000
Travel		9,500	9,500	19,000
				0
				0
				0
<b>Total PPG Budget</b>		60,000	60,000	120,000

\* Annex A for Consultant cost details should be prepared first before completing this table. See notes on Annex A for the required detailed information. This table is the sum of all local and international consultants presented in Annex A.

**F. GEF AGENCY(IES) CERTIFICATION**

This request has been prepared in accordance with GEF policies and procedures and meets the GEF LDCF/SCCF Trust Fund criteria for project identification and preparation.

Agency Coordinator, Agency Name	Signature	Date (Month, day, year)	Project Contact Person	Telephone	Email Address
Yannick Glemarec  UNDP/GEF Executive Coordinator		September 26, 2011	Gernot Laganda UNDP/GEF Regional Technical Advisor (LECRDS)	+66/2288/2644	gernot.laganda@undp.org

**Annex A**

**Consultants Financed by the Project Preparation Grant (PPG)**

<b>Type of Consultant</b>	<b>Position / Titles</b>	<b>\$/ Person Week<sup>1</sup></b>	<b>Estimated PWs<sup>2</sup></b>	<b>Tasks to be Performed</b>
Local	Project Assessment Specialist	1000	33.00	<ul style="list-style-type: none"> <li>- Analyze the project baseline in terms of current investment projects and programmes; relevant reconstruction and development planning/appraisal/ review processes that are planned or under way; relevant policies; complementary climate change, disaster management and community development projects; and stakeholders that are relevant to the project;</li> <li>- Based on analysis of climate risk and vulnerability hot spots, identify specific sites for project intervention and collect baseline information about the socio-economic situation in the target districts and communities;</li> <li>- Coordinate consultations with government and non-government agencies, provincial/district/local project stakeholders and potential project partners;</li> <li>- Organize and facilitate technical and consultative meetings with provincial/district/local government and community stakeholders to assist project definition;</li> <li>- Propose, based on participatory stakeholder consultations, a capacity development strategy for the project;</li> <li>- Assist in the analysis and design of suitable climate change adaptation measures for demonstration and replication;</li> <li>- Develop a communication strategy with communities and facilitate their participation;</li> <li>- Define project roles and responsibilities and propose suitable project management, implementation and reporting arrangements;</li> <li>- Assist in the design of the project's Knowledge Management strategy;</li> <li>- Develop a replication strategy for the project in other districts and Provinces;</li> <li>- Develop a sustainability and exit strategy for the project (i.e. a way</li> </ul>

				<p>forward once SCC funding is exhausted);</p> <ul style="list-style-type: none"> <li>- Help build financing partnerships and mobilize co-financing resources;</li> <li>- Help ensure that co-financing letters are obtained in time for submission;</li> </ul>
International	Project Development Specialist	2500	7.00	<ul style="list-style-type: none"> <li>- Act as primary contact point for UNDP Country Office, UNDP Regional Office and National Consultants for the drafting and finalization of a UNDP-GEF compliant full-size project document;</li> <li>- Guide and coordinate input by the National Consultant;</li> <li>- Based on input by the National Consultant, define a Results Framework (including Outcomes, Outputs, Indicators and Risks/Assumptions) and outline indicative project activities;</li> <li>- Alongside UNDP, provide quality control for the FSP formulation process in line with UNDP/GEF and SCCF requirements;</li> <li>- Assist in verifying climate risks associated with the project's target areas;</li> <li>- Validate problem analysis of the project;</li> <li>- Guide the determination of the scope and strategy of the project;</li> <li>- Frame a consistent climate change additionality argument for the project in line with findings from field assessments;</li> <li>- Ensure that the project approach is cost-effective;</li> <li>- Formulate project management, reporting and evaluation arrangements and define an organigram for the project;</li> <li>- Facilitate the finalization of a financing and co-financing package for this project and ensure that co-financing letters are obtained in time for submission;</li> <li>- Facilitate stakeholder consultations on project preparation, including finalization of a detailed budget and TOR for all inputs in line with UNDP-GEF criteria and eligibility principles</li> <li>- Compile project Annexes and CEO endorsement template</li> </ul>
(Select)				
(Select)				

(Select)				

<sup>1</sup> Provide dollar amount per person week.

<sup>2</sup> Provide person weeks needed to carry out the task